

NIH Public Access Policy: Overview and Practical Matters

Presented to the
Center for Aging in Diverse Communities Scholars

Steve Gregorich
May 13, 2020

NIH Public Access Policy

The law states (<https://publicaccess.nih.gov/policy.htm>)

Who: ...*all investigators funded by the NIH...*

What: ...*submit or have submitted for them
to the National Library of Medicine's PubMed Central
an electronic version of their final, peer-reviewed manuscripts...*

When: ...*upon acceptance for publication,
to be made publicly available no later than 12 months
after the official date of publication...but there is more on timing...*

NIH Public Access Policy: Which Types of Publications?

The Policy applies to manuscripts written in Latin script that are/were

- . peer reviewed, *and*
- . accepted for publication in a journal on April 7, 2008 or later, *and*
- . supported by
 - an NIH grant or cooperative agreement active in FY2008 or later,
 - an NIH contract signed on April 7, 2008 or later,
 - NIH intramural program, *or*
 - an NIH employee

Essentially, any peer-reviewed journal publication
that acknowledges any NIH support or is reported to NIH in an RPPR
is subject to this policy.

NIH Public Access Policy:

Submitting to **PMC** or **NIHMS**

Four Methods: A, B, C, and D (https://publicaccess.nih.gov/submit_process.htm)

Method A

Some journals automatically submit NIH-supported articles to **PubMed Central (PMC)**

Method B

Author makes special arrangement for journal to submit to **PMC**

Method C

Author submits manuscript to the **NIH Manuscript Submission system (NIHMS)**

Method D

Some journals will submit manuscripts to **NIHMS**

NIH Public Access Policy: Submission Methods

	Methods A & B	Methods C & D
Manuscript version submitted	Final published paper	Final peer-reviewed manuscript
Process	Publisher adds to PMC	Author submits to NIHMS when accepted for publication
Who approves submission?	Publisher	Author, via NIHMS
Who approves PMC web version?	Publisher	Author, via NIHMS
Responsible party	Author (PI, ultimately)	Author (PI, ultimately)
Citing papers from acceptance to 3 mths	PMCID or "PMCID Journal-In Process"	PMCID or NIHMSID
Citing papers 3 months post publication	PMCID	PMCID

PMCID is distinct from PMID!

NIH Public Access Policy: Method A Journals

(https://publicaccess.nih.gov/submit_process_journals.htm)

The screenshot shows the NIH Public Access Policy website. The page title is "Determine Submission Method". Below the title, there is a paragraph explaining that Submission Method A journals make the final published version of all NIH-funded articles available in PubMed Central (PMC) no later than 12 months after publication without author involvement. The start date shown for each journal is the earliest publication date that meets this requirement.

Below the paragraph, there is a text input field labeled "Search for Journal:" with the text "journal of general" entered. A red arrow points to this input field.

Below the input field, there is a blue box with the following text: "If your paper is published in a journal listed below within the start and end dates, click **Yes**. If the journal is not listed below please click [here](#) for next steps."

Below the blue box, there is a table with the following columns: Journal title, NLM TA, pISSN, eISSN, Start date, and End date.

Journal title	NLM TA	pISSN	eISSN	Start date	End date
British Journal of General Practice	Br J Gen Pract	0960-1643	1478-5242	Jan 1990	
European Journal of General Practice	Eur J Gen Pract	1381-4788	1751-1402	Jan 2017	
International Journal of General Medicine	Int J Gen Med		1178-7074	2008	
Journal of General and Family Medicine	J Gen Fam Med	2189-6577	2189-7948	Mar 2017	
Journal of General Internal Medicine	J Gen Intern Med	0884-8734	1525-1497	Jan 1997	
Journal of General Physiology	J Gen Physiol	0022-1295	1540-7748	Sep 1918	
Journal of General Virology	J Gen Virol	0022-1317	1465-2099	Apr 2009	

Below the table, there is a text "Total Record Count = 3264" and "Updated: May 11, 2020".

NIH Public Access Policy: Method B Journals/Publishers

Author makes special arrangements for journal to submit to **PMC**

Method B Journals (https://publicaccess.nih.gov/select_deposit_publishers.htm)

ACS AuthorChoice	IOS Press Open Library	RSNA Journals
APA Open Access	Informa Healthcare Open Access	Royal College of Psychiatrists Open Access
American Academy of Pediatrics Selective Deposit	International Journal of Exercise Science	Royal Society of Chemistry
American Psychiatric Publishing Funder-Supported Public Access	JAMA Network	SAGE Choice
BMJ Open Access	Karger Author's Choice	Society for Endocrinology Open Access
Cambridge Open Access	Liebert Funded Articles	Society for Reproduction and Fertility Open Access
ERJ Open	Lippincott Williams & Wilkins Open Access	Springer Open Choice
Edinburgh Open	MIT Press Open Journals	Taylor & Francis Open Select
Emerald Publishing Open Access	Maney MORE Open Choice	The Bone & Joint Journal
European Society of Endocrinology Open Access	NPG Open Access	The International Journal of Tuberculosis and Lung Disease
FSG Selective Deposit	OSA - Funded Articles	The Johns Hopkins University Press Selective Deposit
Hogrefe OpenMind	Oxford Open	The Journal of Immunology Author Choice
IEEE Sponsored Documents	Palgrave Open	Thieme Open Access
IOP Publishing	Portland Press Open Access	Wiley-Blackwell Online Open
	RSM Open	

NIH Public Access Policy: Method C

Author submits final peer reviewed manuscript to **NIHMS**

(<https://www.youtube.com/watch?v=NROwOdAieG4>)



The **NIHMS** user interface has changed, but the video is still useful

NIH Public Access Policy

Steve Gregorich

slide 8

NIH Public Access Policy: Method C

The video shows the Method C process via [NIHMS](#)

Method C is also available via [MyNCBI](#)
(National Center for Biotechnology Information)

I will discuss both approaches starting with [NIHMS](#)

First, log into NIHMS via [eRA Commons](#) or [MyNCBI](#)

The video warns to always use the same login platform for all subsequent visits.

I always start at [eRA Commons](#)

NIH Public Access Policy: Method C, Starting at eRA

The screenshot shows the NIH eRA Personal Profile page. A red arrow points to the 'Personal Profile' link in the top navigation bar. On the left sidebar, the 'Personal Profile Summary' section is highlighted with a green checkmark. Below it, a list of profile sections (Name and ID, Demographics, Employment, Reviewer Information, Education, Reference Letters, Publications, xTRACT Information) each has a green checkmark. The 'Publications' section is highlighted with a blue bar. A red arrow points to the 'Publications' section in the main content area. Below the 'Publications' section, there is a text block about the NIH Public Access Policy. A red arrow points to the link 'Learn more about the NIH Public Access Policy at <http://www.nih.gov/public-access>'. Another red arrow points to the link 'To access NIHMS click here'. A third red arrow points to the link 'If you need to login to My NCBI account please use this link: [My NCBI](#)'. A blue cloud-shaped callout box contains the text 'Use either NIMHS or MyNCBI.'.

Home | eRA

public.era.nih.gov/commons/ppf/main.era?method=init&menu_itemPath=603

U.S. Department of Health & Human Services | NIH | National Institutes of Health | Office of Extramural Research

Electronic Research Administration
A program of the National Institutes of Health

Home Admin Institution Profile Personal Profile Status ASSIST Prior Approval RPPR Internet Assisted Review xTrain xTRACT Admin Supp eRA Partners Non-Research

Personal Profile

Dr Steven Gregorich

Roles:
PI - Principal Investigator
BASIC - A basic eRA User
IAR - Internet Assisted Review User- Assigned by an SRO (Scientific Review Officer) when a user will be involved in the peer review of applications.

Person ID:
8834467
ORCID ID:
Unavailable ?
[Create or Connect your ORCID iD](#)

Personal Profile Summary

IMPORTANT: Changes to your Personal Profile will NOT save if there is any missing data in the required fields. Before navigating away from or closing the Personal Profile, review and enter missing information.
PLEASE NOTE: After creating or connecting your ORCID iD please close all tabs and windows of the browser to ensure a full logout from the system.

+ View All

Name and ID ? Edit

Demographics ? Edit

Employment ? Edit

Reviewer Information ? Edit

Education ? Edit

Reference Letters ?

Publications ?

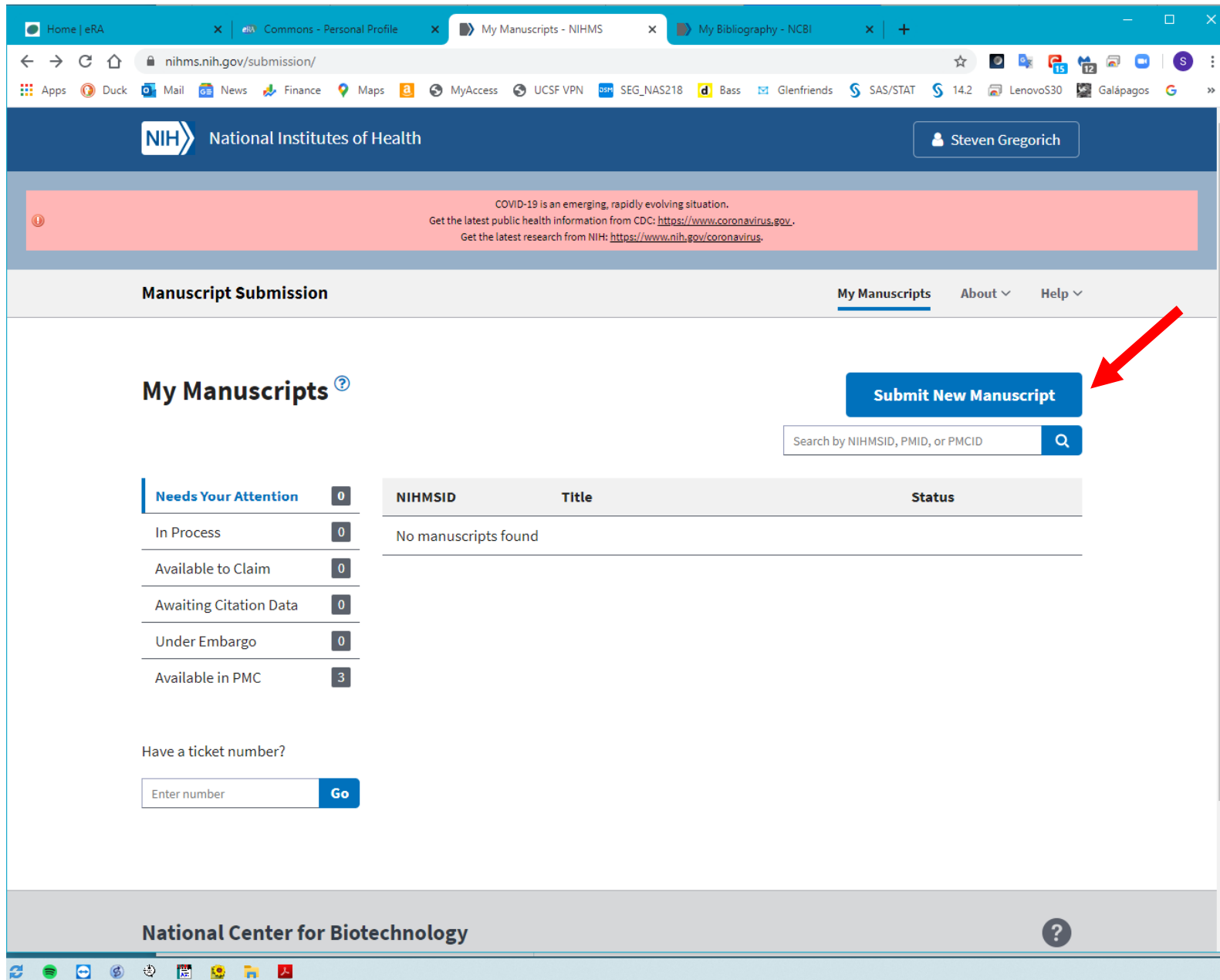
The NIH Public Access Policy ensures that the public has access to the published results of NIH-funded research. It requires scientists to submit journal manuscripts that arise from NIH funds to the digital archive PubMed Central upon acceptance for publication. Learn more about the NIH Public Access Policy at <http://www.nih.gov/public-access>.

To access NIHMS click [here](#)

If you need to login to My NCBI account please use this link: [My NCBI](#)

Profile updated:
05/11/2020

NIH Public Access Policy: Method C via NIHMS



Home | eRA Commons - Personal Profile My Manuscripts - NIHMS My Bibliography - NCBI

nihms.nih.gov/submission/

NIH National Institutes of Health Steven Gregorich

COVID-19 is an emerging, rapidly evolving situation.
Get the latest public health information from CDC: <https://www.coronavirus.gov>.
Get the latest research from NIH: <https://www.nih.gov/coronavirus>.

Manuscript Submission My Manuscripts About Help

My Manuscripts ?

Submit New Manuscript

Search by NIHMSID, PMID, or PMCID

Needs Your Attention 0

NIHMSID	Title	Status
No manuscripts found		

In Process 0

Available to Claim 0

Awaiting Citation Data 0

Under Embargo 0

Available in PMC 3

Have a ticket number?

Enter number Go

National Center for Biotechnology ?

NIH Public Access Policy: Method C via NIHMS

The screenshot shows a web browser window with the NIHMS submission page. The browser's address bar shows the URL `nihms.nih.gov/submission/create/`. The NIH logo and the text "National Institutes of Health" are at the top. A user profile for "Steven Gregorich" is visible in the top right. A red banner with a warning icon contains text about COVID-19. Below this, the "Manuscript Submission" section has links for "My Manuscripts", "About", and "Help". The main "Submit Manuscript" form is titled "Title" and has a "Populate from:" section with buttons for "PubMed", "DOI", and "My Bibliography". An "or" separator is between these buttons and the "Enter manually" section. The "Enter manually" section has two text input fields: "Manuscript Title" (with placeholder text "Enter manuscript title") and "Journal Title" (with placeholder text "Enter journal title or abbreviation"). At the bottom of the form are links for "? Help", "Cancel Submission", and a "Next" button. Below the form are three expandable sections: "Funding", "Files", and "Reviewer and Release Delay (Embargo)".

Home | eRA Commons - Personal Profile Submit Manuscript - NIHMS My Bibliography - NCBI

nihs.nih.gov/submission/create/

NIH National Institutes of Health Steven Gregorich

COVID-19 is an emerging, rapidly evolving situation.
Get the latest public health information from CDC: <https://www.coronavirus.gov>.
Get the latest research from NIH: <https://www.nih.gov/coronavirus>.

Manuscript Submission My Manuscripts About Help

Submit Manuscript

Title

Populate from:

PubMed

DOI

My Bibliography

or

Enter manually

Manuscript Title

Enter manuscript title

Journal Title

Enter journal title or abbreviation

? Help Cancel Submission Next

Funding +

Files +

Reviewer and Release Delay (Embargo) +

NIH Public Access Policy: Method C via eRA & MyNCBI

Home | eRA Commons - Personal Profile Submit Manuscript - NIHMS My Bibliography - NCBI

public.era.nih.gov/commons/ppf/main.era?method=init&menu_itemPath=603

U.S. Department of Health & Human Services NIH National Institutes of Health Office of Extramural Research

Steven Gregorich Help Contact Us Logout

eRA Electronic Research Administration
A program of the National Institutes of Health

Home Admin Institution Profile Personal Profile Status ASSIST Prior Approval RPPR Internet Assisted Review xTrain xTRACT Admin Supp eRA Partners Non-Research

Personal Profile

Dr Steven Gregorich

Roles:
PI - Principal Investigator
BASIC - A basic eRA User
IAR - Internet Assisted Review User- Assigned by an SRO (Scientific Review Officer) when a user will be involved in the peer review of applications.

Person ID:
8834467
ORCID ID:
Unavailable ?
[Create or Connect your ORCID iD](#)

Personal Profile Summary ✓

- Name and ID ✓
- Demographics ✓
- Employment ✓
- Reviewer Information ✓
- Education ✓
- Reference Letters ✓
- Publications ✓
- xTRACT Information ✓

Information

Profile updated:
05/11/2020

Personal Profile Summary ?

IMPORTANT: Changes to your Personal Profile will NOT save if there is any missing data in the required fields. Before navigating away from or closing the Personal Profile, review and enter missing information.

PLEASE NOTE: After creating or connecting your ORCID iD please close all tabs and windows of the browser to ensure a full logout from the system.

[+ View All](#)

- Name and ID ? Edit
- Demographics ? Edit
- Employment ? Edit
- Reviewer Information ? Edit
- Education ? Edit
- Reference Letters ?
- Publications ?

The NIH Public Access Policy ensures that the public has access to the published results of NIH-funded research. It requires scientists to submit final peer-reviewed journal manuscripts that arise from NIH funds to the digital archive PubMed Central upon acceptance for publication. [Learn more about the NIH Public Access Policy at http://publicaccess.nih.gov/](http://publicaccess.nih.gov/)

To access NIHMS click [here](#)

If you need to login to My NCBI account please use this link: [My NCBI](#)

Here, choose MyNCBI instead of NIMHS.

NIH Public Access Policy: Method C via MyNCBI

The screenshot shows the MyNCBI web interface. At the top, there's a navigation bar with links like 'Home | eRA', 'Commons - Personal Profile', 'Submit Manuscript - NIHMS', and 'My NCBI - Home'. Below this is a search bar and a list of applications. A red arrow points to the 'My Bibliography' section, which displays the user's bibliography containing 178 items and a shareable URL. Other sections visible include 'Search NCBI databases', 'Saved Searches', 'Collections', 'Filters', and 'Recent Activity'.

My Bibliography

Your bibliography contains [178 items](#).
Share your bibliography with this URL:
<https://www.ncbi.nlm.nih.gov/myncbi/steven.gregorich.1/bibliography/public/>
[Manage My Bibliography >](#)

Recent Activity

Time	Database	Type	Term
30-Apr-2020	PubMed	record	Differences in exposure to toxic an...
30-Apr-2020	PubMed	record	Unmet Mental Health Need Among Chin...
30-Apr-2020	PubMed	record	A Community Choir Intervention to P...
30-Apr-2020	PubMed	record	A Randomized Clinical Trial of Grou...
30-Apr-2020	PubMed	record	Caregiving for Older Adults with Li...
30-Apr-2020	PubMed	search	gregorich s*
29-Apr-2020	PMC	record	A SEMI-PARAMETRIC BAYESIAN MODEL OF...
29-Apr-2020	PubMed	record	Comparison of measurement variabili...
29-Apr-2020	PubMed	record	Accuracy and reproducibility of two...
29-Apr-2020	PubMed	record	Intra- and inter-examiner reproduci...

NIH Public Access Policy: Method C via MyNCBI

The screenshot shows the 'My Bibliography' page for Steven Gregorich on the MyNCBI platform. The page displays a list of citations with their Public Access Compliance status. A red arrow points to the 'newest to oldest' sort dropdown menu.

My Bibliography Steven Gregorich's Bibliography 49 128 ✓

Public Access Compliance: Complete [My Bibliography Help](#)

MyNCBI | linked account Page 1 of 4

Share your bibliography with this URL: <https://www.ncbi.nlm.nih.gov/myncbi/steven.gregorich.1/bibliography/public/>
[Make bibliography private](#)

Manage citations Add citations Filter citations Search citations Search

178 citations, Sort by newest to oldest

Citation	Public Access Compliance
<input type="checkbox"/> Johnson JK, Stewart AL, Acree M, Nápoles AM, Flatt JD, Max WB, Gregorich SE. A Community Choir Intervention to Promote Well-Being Among Diverse Older Adults: Results From the Community of Voices Trial. J Gerontol B Psychol Sci Soc Sci. 2020 Feb 14;75(3):549-559. doi: 10.1093/geronb/gby132. PubMed PMID: 30412233.	Public Access Compliance PMC Journal - In Process [Edit Status] 3 Awards
<input type="checkbox"/> Cheng J, Gregorich SE, Gansky SA, Fisher-Owens SA, Kottek AM, White JM, Mertz EA. Constructing Matched Groups in Dental Observational Health Disparity Studies for Causal Effects. JDR Clin Trans Res. 2020 Jan;5(1):82-91. doi: 10.1177/2380084419830655. Epub 2019 Mar 11. PubMed PMID: 30931723; PubMed Central PMCID: PMC6918038.	Public Access Compliance Complete. PMCID: PMC6918038 1 Award
<input type="checkbox"/> Martinez SM, Tschann JM, Butte NF, Gregorich SE, Penilla C, Flores E, Pasch LA, Greenspan LC, Deardorff J. Sleep duration in Mexican American children: Do mothers' and fathers' parenting and family practices play a role? J Sleep Res. 2019 Aug;28(4):e12784. doi: 10.1111/jsr.12784. Epub 2018 Nov 5. PubMed PMID: 30397969; PubMed Central PMCID: PMC6597317.	Public Access Compliance Complete. PMCID: PMC6597317 2 Awards

<https://www.ncbi.nlm.nih.gov/myncbi/collections/mybibliography/?pacfilter=compliant>

NIH Public Access Policy: Method C via MyNCBI

The screenshot shows a web browser window with the MyNCBI 'My Bibliography' page. The browser's address bar shows the URL ncbi.nlm.nih.gov/myncbi/collections/mybibliography/. The page header includes the NIH logo and the text 'National Library of Medicine National Center for Biotechnology Information'. A user profile dropdown menu is visible with the email 'gregorich@medicin...'. A red banner at the top contains COVID-19 information. The main heading is 'My Bibliography' followed by 'Steven Gregorich's Bibliography 49 128'. Below this, there is a search bar and a 'Search' button. A dropdown menu is open, showing sorting options: 'newest to oldest' (selected), 'recently updated', 'oldest to newest', 'author 1/2', and 'Public Access Compliance'. A red arrow points to the 'Public Access Compliance' option. The list of citations includes:

- ☐ Johnson JK, Stewart AL, Acree M, Nápoles AM, Flatt JD, Max WB, Gregorich SE. [A Community Choir Intervention to Promote Well-Being Among Diverse Older Adults: Results From the Community of Voices Trial](#). J Gerontol B Psychol Sci Soc Sci. 2020 Feb 14;75(3):549-559. doi: 10.1093/geronb/gby132. PubMed PMID: 30412233.
- ☐ Cheng J, Gregorich SE, Gansky SA, Fisher-Owens SA, Kottek AM, White JM, Mertz EA. [Constructing Matched Groups in Dental Observational Health Disparity Studies for Causal Effects](#). JDR Clin Trans Res. 2020 Jan;5(1):82-91. doi: 10.1177/2380084419830655. Epub 2019 Mar 11. PubMed PMID: 30931723; PubMed Central PMCID: PMC6918038.
- ☐ Martinez SM, Tschann JM, Butte NF, Gregorich SE, Penilla C, Flores E, Pasch LA, Greenspan LC, Deardorff J. [Sleep duration in Mexican American children: Do mothers' and fathers' parenting and family practices play a role?](#). J Sleep Res. 2019 Aug;28(4):e12784. doi: 10.1111/jsr.12784. Epub 2018 Nov 5. PubMed PMID: 30397969; PubMed Central PMCID: PMC6597317.

Each citation entry includes a 'Public Access Compliance' status box on the right, showing 'Complete' and 'PMCID' information, along with an 'Awards' link.

NIH Public Access Policy: Method C via MyNCBI

The screenshot shows a web browser window with the URL [ncbi.nlm.nih.gov/myncbi/collections/mybibliography/](https://www.ncbi.nlm.nih.gov/myncbi/collections/mybibliography/). The page is titled "My Bibliography" and is for Steven Gregorich's Bibliography, which has 49 citations, 1 bookmark, and 128 likes. A notification banner at the top mentions COVID-19. Below the header, there is a section for "Public Access Compliance: Complete" with a link to "My Bibliography Help". The main content area shows a list of citations, sorted by "newest to oldest". A red arrow points to the "Public Access Compliance" status for the first citation, which is "In Process". The second and third citations show "Complete" status. The first citation is by Johnson JK et al. (2020 Feb), the second by Cheng J et al. (2020 Jan), and the third by Martinez SM et al. (2018 Nov).

NIH Public Access Policy

Steve Gregorich

NIH Public Access Policy: Method C via MyNCBI

Home | eRA Commons - Personal Profile Submit Manuscript - NIHMS My Bibliography - NCBI

ncbi.nlm.nih.gov/myncbi/collections/mybibliography/?sortby=pac

NIH National Institutes of Health

Edit Public Access Compliance Status

At least one NIH grant is associated with this citation.

Access Policy requires scientists to submit final, peer-reviewed journal manuscripts that arise from NIH funds to the digital archive PubMed Central upon acceptance for publication. (See [Determine Applicability](#) for full details.) Please submit the final manuscript sent to your publisher or indicate that this publication is exempt from the policy.

Method A journal submission, in process. Pending PMCID.

- ☐ Begin submission in the NIHMS.
- ☐ This citation has been submitted. NIHMS ID:
- ☐ Arrangements have been made for a [publisher on this list](#) to send the final published article directly to PubMed Central. ([Method B](#))
- ☐ This citation does not need to be submitted under NIH Public Access because:
 - ☐ Publication was not peer reviewed.
 - ☐ Publication was accepted for publication before April 7, 2008.
 - ☐ Publication was written in a script other than Latin (e.g., Russian, Japanese).
 - ☐ Publication was not [directly supported by](#) NIH funds active in FY08 or beyond.

Save Cancel

My Bibli

Share your bibliography
[Make bibliography private](#)

Manage citations

- ☐ Johnson JK, Ste [Community Ch](#)
[From the Comm](#)
14;75(3):549-55
- ☐ Smith JF, Eisen [treatments and](#)
[fertility cohort i](#)
10.1016/j.fertnstert.2010.06.043. Epub 2010 Jul 25. PubMed PMID: 20659733; PubMed Central PMCID: PMC2966858.
- ☐ Alderete E, Kaplan CP, Gregorich SE, Mejía R, Pérez-Stable EJ. [Smoking behavior and ethnicity in Jujuy, Argentina: evidence from a low-income youth sample](#). Subst Use Misuse. 2009;44(5):632-46. doi: 10.1080/10826080902809717. PubMed PMID: 19360537; PubMed Central PMCID: PMC3570082.

Public Access Compliance
Complete. PMCID: [PMC3570082](#)

[1 Award](#)

This paper was published in a Method A journal; so, not the best example of a Method C process. I did not have a Method C journal example when I made these slides.

(Other Things to Note about MyNCBI My Bibliography)

The screenshot shows the MyNCBI My Bibliography page for Steven Gregorich. The page header includes the NIH logo and the user's email, gregorich@medicin... A red banner at the top provides COVID-19 information. The main heading is "My Bibliography" followed by "Steven Gregorich's Bibliography 49" and a green checkmark icon. A red arrow points to this green checkmark. Below the heading, a box indicates "Public Access Compliance: Complete" with a link to "My Bibliography Help". Another red arrow points to the share URL: <https://www.ncbi.nlm.nih.gov/myncbi/steven.gregorich.1/bibliography/public/>. A third red arrow points to the "Make bibliography private" link. The page shows a list of citations with checkboxes, and a search bar. The first citation is by Johnson JK et al. (2020) on the Community Choir Intervention. The second is by Cheng J et al. (2020) on Constructing Matched Groups in Dental Observational Health Disparity Studies. The third is by Martinez SM et al. (2019) on Sleep duration in Mexican American children. Each citation has a "Public Access Compliance" status box on the right, with green checkmarks indicating compliance. The page footer shows the URL: <https://www.ncbi.nlm.nih.gov/myncbi/collections/mybibliography/?pacfilter=compliant>.

Home | eRA Commons - Personal Profile Submit Manuscript - NIHMS My Bibliography - NCBI

ncbi.nlm.nih.gov/myncbi/collections/mybibliography/

NIH National Library of Medicine National Center for Biotechnology Information gregorich@medicin...

COVID-19 is an emerging, rapidly evolving situation. Get the latest public health information from CDC: <https://www.coronavirus.gov>. Get the latest research from NIH: <https://www.nih.gov/coronavirus>.

My Bibliography Steven Gregorich's Bibliography 49 128 ✓

Public Access Compliance: Complete [My Bibliography Help](#)

MyNCBI linked account

Share your bibliography with this URL: <https://www.ncbi.nlm.nih.gov/myncbi/steven.gregorich.1/bibliography/public/> [Make bibliography private](#)

Manage citations Add citations Filter citations

Search citations Search

Page 1 of 4

178 citations, Sort by newest to oldest

☐ Johnson JK, Stewart AL, Acree M, Nápoles AM, Flatt JD, Max WB, Gregorich SE. A Community Choir Intervention to Promote Well-Being Among Diverse Older Adults: Results From the Community of Voices Trial. J Gerontol B Psychol Sci Soc Sci. 2020 Feb 14;75(3):549-559. doi: 10.1093/geronb/gby132. PubMed PMID: 30412233. Public Access Compliance PMC Journal - In Process [\[Edit Status\]](#) 3 Awards

☐ Cheng J, Gregorich SE, Gansky SA, Fisher-Owens SA, Kottek AM, White JM, Mertz EA. Constructing Matched Groups in Dental Observational Health Disparity Studies for Causal Effects. JDR Clin Trans Res. 2020 Jan;5(1):82-91. doi: 10.1177/2380084419830655. Epub 2019 Mar 11. PubMed PMID: 30931723; PubMed Central PMCID: PMC6918038. Public Access Compliance Complete. PMCID: [PMC6918038](#) 1 Award

☐ Martinez SM, Tschann JM, Butte NF, Gregorich SE, Penilla C, Flores E, Pasch LA, Greenspan LC, Deardorff J. Sleep duration in Mexican American children: Do mothers' and fathers' parenting and family practices play a role? J Sleep Res. 2019 Aug;28(4):e12784. doi: 10.1111/jsr.12784. Epub 2018 Nov 5. PubMed PMID: 30397969; PubMed Central PMCID: PMC6597317. Public Access Compliance Complete. PMCID: [PMC6597317](#) 2 Awards

<https://www.ncbi.nlm.nih.gov/myncbi/collections/mybibliography/?pacfilter=compliant>

NIH Public Access Policy: Method D

Journals/Publishers that will submit manuscripts to **NIHMS**

Authors/awardees responsible for ensuring the manuscript is deposited into the **NIHMS** upon acceptance for publication.

Author must complete all remaining steps in the **NIHMS**

AME Publishing	Entomological Society of America	Lippincott, Williams And Wilkins
American Association for Cancer Research	Ethnicity & Disease	Multidisciplinary Digital Publishing Institute
American Association for the Advancement of Science	F1000 Research Limited	Nature Publishing Group
American Association of Immunologists	Future Medicine Ltd	New England Journal Of Medicine
American Society of Neuroradiology	Healthcare Bulletin	Radiation Research Society
American Psychological Association	IEEE Publishing Technology	Royal Society Of Chemistry
Begell House Publishers	Institute Of Physics	Sage Publications
BMJ	JMIR Publications	Society for Endocrinology And Bioscientifica Ltd
Cambridge University Press	John Wiley And Sons Publishing	Springer Publishing
Elsevier	The Journal of Rheumatology Publishing Company Limited	Taylor And Francis Group
	Karger Publishing	University of California Press

NIH Public Access Policy: Summary

Method A Journals

The journal has one year from date of *in-print* publication to deposit the published article in **PMC**

Even so, compliance requires that a PMCID be issued within 3 months of *in-print* publication

The clock does not start until the article is in print

In press status does not count; '*epub ahead of print*' is in press

Some Method A journals are notorious for being slow.

Using PubMed or **MyNCBI**,
closely monitor whether a PMCID # has been issued.

In some cases, you may need to 'force' **NIHMS** to provide you with Method C (email: publicaccess@nih.gov)

Method A Best Practices (<https://publicaccess.nih.gov/method-A-BP.htm>)

NIH Public Access Policy: Summary

Method B Journals

Unless a journal makes Option B simple and reliable,
my advice is to not bother with it and use Option C

Method B Best Practices (<https://publicaccess.nih.gov/method-B-BP.htm>)

NIH Public Access Policy: Summary

Method C Journals

As soon as your manuscript is accepted for publication submit it (i.e., the Word document) to **NIHMS**

Get in the habit of doing this! You will thank yourself.

NIHMS says to submit the originally accepted manuscript, not the copy-edit revised manuscript.

I don't agree with that guidance.

I usually submit the copy-edited manuscript.

Shortly after you submit to **NIHMS** they will issue an NIHMSID #.
Add that number to your references until the PMCID is issued
(example below)

NIH Public Access Policy: Summary

Method D Journals

Unless a journal makes Option D simple and reliable,
my advice is to not bother with it and use Option C
(if NIHMS will allow you that option)

Shortly after you submit to NIHMS they will issue an NIHMSID #.
Add that number to your references until the PMCID is issued
(example below).

Method C & D best practices

<https://publicaccess.nih.gov/Methods-C-D-BP>

NIH Public Access Policy: Summary

Example references

All journals: After PMCID issued

Cerrato A ... (2006). Genetic interactions between *Drosophila melanogaster*. *Developmental Biology*. 298(1), 59-70. **PMCID: PMC2291284**

Method A and B journals: Article press or in print for fewer than 3 months

Sala-Torra O ... (2007). Connective tissue growth factor (CTGF) expression and outcome in adult patients with acute lymphoblastic leukemia. *Blood*, 109(7), 3080-3083. **PMC Journal - In Process**

Method C and D journals: Article in press or in print for <3 months

Cerrato A ... (2006). Genetic interactions between *Drosophila melanogaster* menin and Jun/Fos. *Developmental Biology*, 298(1), 59-70. **NIHMSID: NIHMS44135**

NIH Public Access Policy

END

From the NIH FAQ

What are some of the actions NIH may take when investigators and institutions fail to take steps to ensure compliance with the NIH Public Access Policy?

A grantee's failure to comply with the terms and conditions of award may cause NIH to take one or more enforcement actions, depending on the severity and duration of the non-compliance. NIH will undertake any such action in accordance with applicable statutes, regulations, and policies. NIH generally will afford the grantee an opportunity to correct the deficiencies before taking enforcement action unless public health or welfare concerns require immediate action. However, even if a grantee is taking corrective action, NIH may take proactive action to protect the Federal government's interests, including placing special conditions on awards or precluding the grantee from obtaining future awards for a specified period, or may take action designed to prevent future non-compliance, such as closer monitoring.

See Enforcement Actions in the NIH Grants Policy Statement (10/12):

http://grants.nih.gov/grants/policy/nihgps_2012/nihgps_ch8.htm#_Toc271264977